

Maxima and Minima using the Power Mean Inequality

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The power mean inequality states the following:

For positive quantities a_1, a_2, \dots, a_n and $p \geq 1$,

$$\frac{a_1^p + a_2^p + \dots + a_n^p}{n} \geq \left(\frac{a_1 + a_2 + \dots + a_n}{n} \right)^p.$$

For $0 \leq p \leq 1$, inequality reverses.

Proof

We know that for $p \geq 1$, $f(x) = x^p$ is convex; the graph has the following appearance (Figure 1):

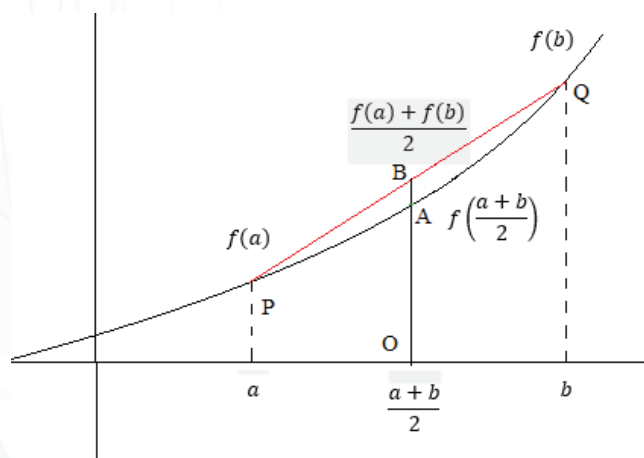


Figure 1

From Figure 1 we have

$$\begin{aligned} OB &\geq OA, \\ \Rightarrow \frac{f(a) + f(b)}{2} &\geq f\left(\frac{a+b}{2}\right). \end{aligned}$$

Here $f(a) = a^p, f(b) = b^p$ and $f\left(\frac{a+b}{2}\right) = \left(\frac{a+b}{2}\right)^p$. Hence

$$\frac{a^p + b^p}{2} \geq \left(\frac{a+b}{2}\right)^p.$$

This is the power mean inequality for two variables. Now let $a = \frac{a_1 + a_2}{2}$ and $b = \frac{b_1 + b_2}{2}$. Then

$$\frac{\left(\frac{a_1 + a_2}{2}\right)^p + \left(\frac{b_1 + b_2}{2}\right)^p}{2} \geq \left(\frac{\frac{a_1 + a_2}{2} + \frac{b_1 + b_2}{2}}{2}\right)^p.$$

Using the power mean inequality for $\left(\frac{a_1 + a_2}{2}\right)^p$ and $\left(\frac{b_1 + b_2}{2}\right)^p$ we get:

$$\frac{\frac{a_1^p + a_2^p}{2} + \frac{b_1^p + b_2^p}{2}}{2} \geq \frac{\left(\frac{a_1 + a_2}{2}\right)^p + \left(\frac{b_1 + b_2}{2}\right)^p}{2}.$$

So,

$$\begin{aligned} \frac{\frac{a_1^p + a_2^p}{2} + \frac{b_1^p + b_2^p}{2}}{2} &\geq \left(\frac{\frac{a_1 + a_2}{2} + \frac{b_1 + b_2}{2}}{2}\right)^p, \\ \Rightarrow \frac{a_1^p + a_2^p + b_1^p + b_2^p}{4} &\geq \left(\frac{a_1 + a_2 + b_1 + b_2}{4}\right)^p. \end{aligned}$$

This is power mean inequality for four variables. Similarly for n quantities we have:

$$\frac{a_1^p + a_2^p + \cdots + a_n^p}{n} \geq \left(\frac{a_1 + a_2 + \cdots + a_n}{n}\right)^p; p \geq 1.$$

For $0 \leq p \leq 1$ the same function i.e., $f(x) = x^p$ is concave function, so $\frac{f(a) + f(b)}{2} \leq f\left(\frac{a+b}{2}\right)$ and

$$\frac{a_1^p + a_2^p + \cdots + a_n^p}{n} \geq \left(\frac{a_1 + a_2 + \cdots + a_n}{n}\right)^p; 0 \leq p \leq 1.$$

Problem 1. Find the maximum and minimum values of the function $\sin x + \cos x$.

Solution

The power mean inequality states that for real positive quantities a, b and $p \geq 1$,

$$\frac{a^p + b^p}{2} \geq \left(\frac{a+b}{2}\right)^p.$$

Letting $a = \sin x$ and $b = \cos x$ and $p = 2$, we get

$$\begin{aligned} \frac{\sin^2 x + \cos^2 x}{2} &\geq \left(\frac{\sin x + \cos x}{2}\right)^2 \Rightarrow \frac{1}{2} \geq \left(\frac{\sin x + \cos x}{2}\right)^2 \\ \Rightarrow 2 &\geq (\sin x + \cos x)^2 = \begin{cases} \sin x + \cos x \geq -\sqrt{2} \\ \sin x + \cos x \leq \sqrt{2} \end{cases} \end{aligned}$$

$\therefore \sqrt{2}$ is the maximum value of $\sin x + \cos x$ and $-\sqrt{2}$ is the minimum value of $\sin x + \cos x$.

The above problem is given as an exercise in a chapter of class 12 entitled as “Application of Derivatives” [1].

Problem 2. For $a, n \geq 1$, find the maximum value of $\sqrt[n]{\sin ax} + \sqrt[n]{\cos ax}$.

(Note: This is meant to be done without using calculus.)

Solution

The power mean inequality states for real quantities u, v and $p \geq 1$,

$$\frac{u^{1/p} + v^{1/p}}{2} \leq \left(\frac{u+v}{2}\right)^{1/p}.$$

Letting $u = \sin^2 ax, v = \cos^2 ax$ and $p = 2n$ gives

$$\frac{(\sin^2 ax)^{1/2n} + (\cos^2 ax)^{1/2n}}{2} \leq \left(\frac{\sin^2 ax + \cos^2 ax}{2}\right)^{1/2n},$$

$$\text{hence: } \sqrt[n]{\sin ax} + \sqrt[n]{\cos ax} \leq 2 \left(\frac{1}{2}\right)^{\frac{1}{2n}} = 2^{1-1/2n}.$$

Hence the maximum value of the function is $2^{1-1/2n}$.

The next problem was proposed by Jose L.D-Barrero [2]

Problem 3. Let a, b, c, d be four positive real numbers. Find the minimum value of

$$\frac{\sqrt[4]{a} + \sqrt[4]{b} + \sqrt[4]{c} + \sqrt[4]{d}}{\sqrt[4]{a+b+c+d}}.$$

Solution

From the power mean inequality:

$$\begin{aligned} \frac{\sqrt[4]{a} + \sqrt[4]{b} + \sqrt[4]{c} + \sqrt[4]{d}}{4} &\leq \left(\frac{a+b+c+d}{4}\right)^{\frac{1}{4}}, \\ \Rightarrow \frac{\sqrt[4]{a} + \sqrt[4]{b} + \sqrt[4]{c} + \sqrt[4]{d}}{\sqrt[4]{a+b+c+d}} &\leq 4 \left(\frac{1}{4}\right)^{\frac{1}{4}} = 2\sqrt{2} \end{aligned}$$

Thus, the maximum value of $\frac{\sqrt[4]{a} + \sqrt[4]{b} + \sqrt[4]{c} + \sqrt[4]{d}}{\sqrt[4]{a+b+c+d}}$ is $2\sqrt{2}$ which is attained when $a = b = c = d$.

References

1. Problem 3 (iii), Exercise 6.5, Chapter 6, “Applications of Derivatives” in Textbook of mathematics Class-12, NCERT, p.232. ISBN-978-8174506290.
2. Jose L.D-Barrero, Problem 857, The Problem Corner, The Pentagon, Vol. 80 No. 1 Fall 2020. P. 29.



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